

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

2.  Applicant claims small entity status.  
See 37 CFR 1.27.

3.  Specification [Total Pages  
(unified arrangement set forth below)]

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

[18]

4.  Drawing(s) (35 U.S.C. 113) [Total Sheets

[4]

5. Oath or Declaration [Total Sheets

[2]

- a.  UNSIGNED (original or copy)

- b.  Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)

- i.  **DELETION OF INVENTOR(S)**

Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).

6.  Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- a.  Computer Readable Form (CRF)

- b. Specification Sequence Listing on:

- i.  CD-ROM or CD-R (2 copies); or

- ii.  paper

- c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))

10.  37 CFR 3.73(b) Statement  
(when there is an assignee)  Power of  
Attorney

11.  English Translation Document (if applicable)

12.  Information Disclosure  
Statement (IDS)/PTO-1449  Copies of IDS  
Citations

13.  Preliminary Amendment

14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16.  Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.

17.  Other: Credit card authorization  
form.....

**18** If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,  
or in an Application Data Sheet under 37 CFR 1.76:

Continuation     Divisional     Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

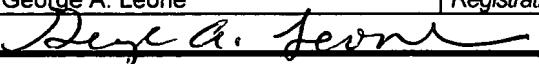
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b,  
is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation  
can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code Label   
(Insert Customer Number and Bar code label here)

or  Correspondence address below

|         |  |           |              |          |              |
|---------|--|-----------|--------------|----------|--------------|
| Name    | George A. Leone & Associates<br><b>23430</b> |           |              |          |              |
| Address | 2150 128th Ave NW PATENT TRADEMARK OFFICE    |           |              |          |              |
| City    | Minneapolis                                  | State     | MN           | Zip Code | 55448        |
| Country | US   | Telephone | 763-767-2762 | Fax      | 763-754-5943 |

|                   |   |                                   |                |
|-------------------|---|-----------------------------------|----------------|
| Name (Print/type) | George A. Leone   | Registration No. (Attorney/Agent) | 30,567         |
| Signature         |  |                                   | Date 7/24/2003 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

17354 U.S.P.T.O.

TU/626288



07/24/03

07/24/03  
U.S. PATENT AND TRADEMARK OFFICE

PTO/SB/17 (11-01)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

 Applicant Claims small entity status See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 375.00)

| Complete if Known    |         |
|----------------------|---------|
| Application Number   |         |
| Filing Date          |         |
| First Named Inventor | Stis    |
| Examiner Name        |         |
| Group Art Unit       |         |
| Attorney Docket No.  | 60081US |

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None
 Deposit Account

|                        |  |
|------------------------|--|
| Deposit Account Number |  |
| Deposit Account Name   |  |

The Commissioner is authorized to: (check all that apply)

- Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Description | Fee Paid |
|--------------|--------------|-----------------|----------|
| Fee Code     | Fee Code     | Fee (\$)        | Fee (\$) |
| 101          | 750          | 201             | 375      |
| 106          | 330          | 206             | 165      |
| 107          | 510          | 207             | 255      |
| 108          | 740          | 208             | 370      |
| 114          | 160          | 214             | 80       |
|              |              |                 | 375.00   |

SUBTOTAL (1) (\$ 375.00)

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | -20**= | Extra Claims | Fee from below | Fee Paid |
|--------------|--------|--------------|----------------|----------|
| 16           | -20**= | 0            | X              | =        |
| 3            | -3**=  | 0            | X              | =        |

Multiple Dependent

| Large Entity | Small Entity | Fee Description | Fee Paid  |
|--------------|--------------|-----------------|---|
| Fee Code     | Fee Code     | Fee (\$)        | Fee (\$)  |
| 103          | 18           | 203             | 9   |
| 102          | 84           | 202             | 42  |
| 104          | 280          | 204             | 140   |
| 109          | 84           | 209             | 42  |
| 110          | 18           | 210             | 9   |
|              |              |                 | **Reissue independent claims over original patent         |
|              |              |                 | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

\*\* or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee | Fee    | Fee  | Fee | Fee Paid |
|--------------|--------------|-----|--------|--|-----|----------|
| 105          | 130          | 205 | 65     | Surcharge – late filing fee or oath  |     |          |
| 127          | 50           | 227 | 25     | Surcharge – late provisional filing fee or cover sheet                     |     |          |
| 139          | 130          | 139 | 130    | Non-English specification  |     |          |
| 147          | 2,520        | 147 | 2,520  | For filing a request for ex parte reexamination                            |     |          |
| 112          | 920*         | 112 | 920*   | Requesting publication of SIR prior to Examiner action                     |     |          |
| 113          | 1,840*       | 113 | 1,840* | Requesting publication of SIR after Examiner action                        |     |          |
| 115          | 110          | 215 | 55     | Extension for reply within first month                                     |     |          |
| 116          | 400          | 216 | 200    | Extension for reply within second month                                    |     |          |
| 117          | 920          | 217 | 460    | Extension for reply within third month                                     |     |          |
| 118          | 1,440        | 218 | 720    | Extension for reply within fourth month                                    |     |          |
| 128          | 1,960        | 228 | 980    | Extension for reply within fifth month                                     |     |          |
| 119          | 320          | 219 | 160    | Notice of Appeal   |     |          |
| 120          | 320          | 220 | 160    | Filing a brief in support of an appeal                                     |     |          |
| 121          | 280          | 221 | 140    | Request for oral hearing   |     |          |
| 138          | 1,510        | 138 | 1,510  | Petition to institute a public use proceeding                              |     |          |
| 140          | 110          | 240 | 55     | Petition to revive – unavoidable   |     |          |
| 141          | 1,280        | 241 | 640    | Petition to revive – unintentional   |     |          |
| 142          | 1,280        | 242 | 640    | Utility issue fee (or reissue)   |     |          |
| 143          | 460          | 243 | 230    | Design issue fee   |     |          |
| 144          | 620          | 244 | 310    | Plant issue fee  |     |          |
| 122          | 130          | 122 | 130    | Petitions to the Commissioner  |     |          |
| 123          | 50           | 123 | 50     | Processing fee under 37 CFR 1.17(q)  |     |          |
| 126          | 180          | 126 | 180    | Submission of Information Disclosure Stmt                                  |     |          |
| 581          | 40           | 581 | 40     | Recording each patent assignment per property (times number of properties) |     |          |
| 146          | 740          | 246 | 370    | Filing a submission after final rejection (37 CFR § 1.129(a))              |     |          |
| 149          | 740          | 249 | 370    | For each additional invention to be examined (37 CFR § 1.129(b))           |     |          |
| 179          | 740          | 279 | 370    | Request for Continued Examination (RCE)                                    |     |          |
| 169          | 900          | 169 | 900    | Request for expedited examination of a design application                  |     |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

| SUBMITTED BY      |                 | Complete if applicable               |       |                        |
|-------------------|-----------------|--------------------------------------|-------|------------------------|
| Name (Print/Type) | George A. Leone | Registration No.<br>(Attorney/Agent) | 30567 | Telephone 763-767-2762 |
| Signature         | George A. Leone |                                      |       | Date 7/24/2003         |

WARNING: Information on this form may become public. Credit card information should not be included in this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.